

## Electron Heating by Nonlinear Landau Damping of Electrostatic Waves in an Electron Beam-Plasma System

MAEHARA Tsunehiro\*, HASHIMOTO Ryuji, KAGAYAMA Yoshiaki, UTSUNOMIYA Shohei<sup>1</sup>,  
SUGAWA Masao and SUGAYA Reiji

*Ehime University, Matsuyama, Ehime 790-8577, Japan*

<sup>1</sup>*Shinonome Junior College, Matsuyama, Ehime 790-8531, Japan*

(Received: 5 December 2000 / Accepted: 18 August 2001)

### Abstract

The large axial inhomogeneity of electron temperature due to nonlinear Landau damping of electrostatic waves (space charge wave and Trivelpiece-Gould mode) in an electron beam-plasma system is occurred. At the same time, inhomogeneity of the space potential is also observed. We evaluate and compare the forces to the plasma electrons from the pressure gradient and the inhomogeneity of the space potential. This suggests that the two forces are balanced and the space potential plays an important role on maintaining the inhomogeneity.

### Keywords:

nonlinear Landau damping, space charge wave, Trivelpiece-Gould mode

### 1. Introduction

Nonlinear Landau damping is one of the most interesting phenomena in weak plasma turbulence. This process has been investigated theoretically [1-5] and experimentally [6-12]. In ref. [11], Sugaya *et al.* reported detailed investigations for explosive instabilities by nonlinear Landau damping of electrostatic waves in electron beam-plasma system: The externally launched space charge waves of the electron beam excite the Trivelpiece-Gould (T-G) mode via nonlinear Landau damping with beam electrons. Because the slow mode of the space charge waves is a negative-energy wave, it excites an explosive instability and grows rapidly together with the T-G mode. The scattered T-G mode causes an electron heating and an energy transport via its quasi-linear electron Landau damping. Namely, energy and momentum of the electron beam are transferred into plasma electrons, and

then plasma electrons obtain a small drift velocity. Hence, large axial inhomogeneity of the electron temperature and density are occurred and maintained. However, the evaluated value of the drift velocity from the profiles of the electron temperature and the electron density was not reasonable [11].

In recent years, the relationship between 'potential and structure' has been investigated as one of the most important subjects in fusion science. From the analogy, we suspect that the potential has also something to do with the inhomogeneity in our small linear device. Therefore, we start to measure the space potential as well as electron temperature and density. In this paper, we evaluate the drift velocity by considering the force balance between the space potential and pressure gradient. Thus, we discuss the role of the space potential for maintenance of the axial inhomogeneity by nonlinear Landau damping.

\*Corresponding author's e-mail: [maehara@sci.ehime-u.ac.jp](mailto:maehara@sci.ehime-u.ac.jp)

## 2. Kinetic Wave Equations and Transport Equations

The kinetic wave equations are expressed by [4,5]

$$\frac{\partial U_0}{\partial t} = -S_0 \alpha_0 U_0 |E_{\omega 1}|^2, \quad \frac{\partial U_1}{\partial t} = \alpha_1 U_1 |E_{\omega 0}|^2. \quad (1)$$

Here,  $\alpha_0, \alpha_1$  are the nonlinear wave-particle coupling coefficients,  $E_{\omega}$  is the wave electric field,  $U$  is the absolute value of the wave energy density,  $S_0$  is the sign of wave energy. The subscript 0 and 1 denote the space charge wave and the Trivelpiece-Gould (T-G) mode, respectively.

The transport equations for energy and momentum densities of beam and plasma electrons are given as follows [4,5]:

$$\frac{\partial U_b}{\partial t} = \frac{\omega 1}{\omega 0} \alpha_0 U_0 |E_{\omega 1}|^2, \quad (2)$$

$$\frac{\partial P_{\parallel b}}{\partial t} = \frac{k_{\parallel 1}}{k_{\parallel 0}} \alpha_0 P_{\parallel 0} |E_{\omega 1}|^2, \quad (3)$$

$$\frac{\partial U_e}{\partial t} = -2\gamma_1 U_1, \quad \frac{\partial P_{\parallel e}}{\partial t} = -2\gamma_1 P_{\parallel 1}, \quad (4)$$

where  $P_{\parallel} = (k_{\parallel}/\omega)U$  is a momentum density of the wave,  $\gamma_1$  is the linear damping rate and  $U_s, P_{\parallel}$  are the energy and momentum densities for beam ( $s = b$ ) and plasma electrons ( $s = e$ ).

In the present work, energy and momentum transfer by nonlinear Landau damping are represented as follows

[11]: The externally launched fast and slow space charge waves of the electron beam ( $\omega_0, \mathbf{k}_0$ ) excite the Trivelpiece-Gouldmode ( $\omega_1, \mathbf{k}_1$ ) via nonlinear Landau damping when the resonance condition,  $\omega_0 - \omega_1 - (k_{\parallel 0} - k_{\parallel 1})v_b = m\omega_{ce}$ , is satisfied, where  $k_{\parallel 0}$  and  $k_{\parallel 1}$  are the components of wave vectors parallel to the magnetic field,  $v_b$  is the velocity of the electron beam,  $\omega_{ce}$  is the electron cyclotron frequency, and  $m$  is an integer. The slow space charge wave excites an explosive instability and grows rapidly together with the T-G mode because it is a negative-energy wave ( $S_0 < 0$ ) as shown in eq. (1). The scattered T-G mode causes an electron heating and an energy transport along a magnetic field via its quasi-linear Landau damping (eq. (4)). Namely, energy and momentum of the electron beam are transferred into plasma electrons by nonlinear Landau damping, and then plasma electrons have a small drift along the magnetic field. This leads to the axial inhomogeneity of electron temperature.

## 3. Experimental Apparatus and Results

In this experiment, a monoenergetic electron beam with energy  $U_b \approx 300$  eV, current  $I_b \approx 2$  mA, and diameter  $D_b \approx 2$  mm is continuously injected along a uniform magnetic field into a beam-generated plasma at argon gas pressure of 0.4 mTorr (Fig. 1). The diameter of plasma is  $D_p \approx 15$  mm. The electron cyclotron frequency is adjusted to  $\omega_{ce}/2\pi = 190$  MHz. The fast and slow beam modes are excited by applying an external RF signal with the frequency  $\omega_0 = 275$  MHz

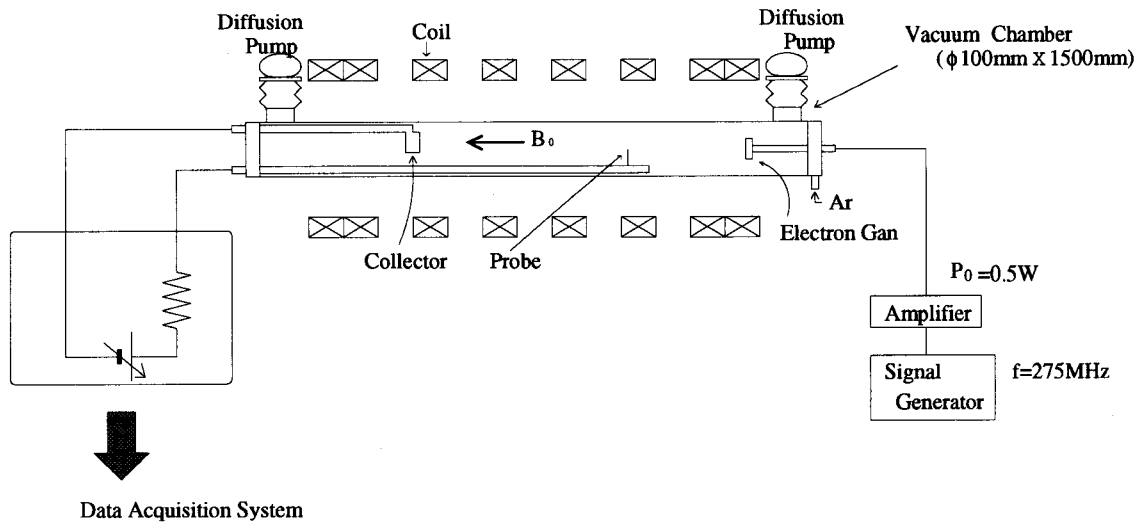


Fig. 1 Schematic view of the experimental apparatus. The uniformity of the magnetic field is 2.7 percent over an axial length of 60 cm.

and the power  $P_0 = 0.5$  W. This parameters correspond to one of the best conditions for occurrence of the local heating [11]. All data are obtained by the Langmuir probe.

Figure 2 shows that (a) electron density  $n_e$ , (b) electron temperature  $T_e$ , (c) energy density  $n_e T_e$  and (d) space potential  $V_s$  versus axial distance  $z$  from the electron gun. The electron density is almost constant in the range of  $\approx 2\text{--}3 \times 10^9 \text{ cm}^{-3}$  and is not changed so much by applying the external RF signal. On the other hand, the electron temperature rises at  $z \approx 10$  and  $\approx 13$  cm by applying RF signal. Figure 2 (c) shows that energy density also becomes large at  $z \approx 10$  and  $\approx 13$  cm. This shows that local heating occurs. At the same time, the space potential increases at  $z \approx 10$  and  $\approx 13$  cm as shown in Fig. 2(d). This suggests that the space potential has something to do with maintaining the inhomogeneity of the electron temperature.

#### 4. Discussion

It is predicted from eq. (4) that plasma electrons are drifted by momentum input from T-G modes, and this drift maintains the inhomogeneity. Figure 2 (d) suggests that contribution from the space potential to maintaining the inhomogeneity is not negligible. In order to discuss the role of the space potential, the drift velocity of plasma electrons is evaluated. We deduce the drift velocity from the fluid equation of motion,  $d\mathbf{v}/dt = -|e|n_e\mathbf{E} - \nabla(n_e T_e) - m_e n_e \mathbf{v}_e \mathbf{v}$  by neglecting the contribution from r-components and vanishing the term of  $d\mathbf{v}/dt$ :

$$v_d \approx v_1 + v_2 \quad (5)$$

$$v_1 = \frac{T_e}{m_e v_e} \left[ \frac{1}{n_e T_e} \frac{\partial n_e T_e}{\partial z} \right] \quad (6)$$

$$v_2 = \frac{T_e}{m_e v_e} \left[ \frac{1}{T_e} \frac{\partial |e| V_s}{\partial z} \right], \quad (7)$$

where  $v_e$  is the electron-neutral collision frequency. This equation shows that the drift velocity consists of two parts. One is  $v_1$ , which corresponds to the pressure gradient. The other is  $v_2$ , which represents the contribution from the space potential. Since eq. (6) and (7) include the derivatives, we fit the experimental data by polynomial (Fig. 3). By using this fitting and  $v_e = 10$  MHz, we evaluate the velocity,  $v_1$ ,  $v_2$  and  $v_d$  (Fig. 3(c)). Since  $n_e T_e$  and  $V_s$  show the similar behavior (Fig. 3(a), (b)),  $v_1$  and  $v_2$  are almost symmetrical to the horizontal axis ( $v = 0$ ), and  $|v_d|$  becomes small. Here, we simply

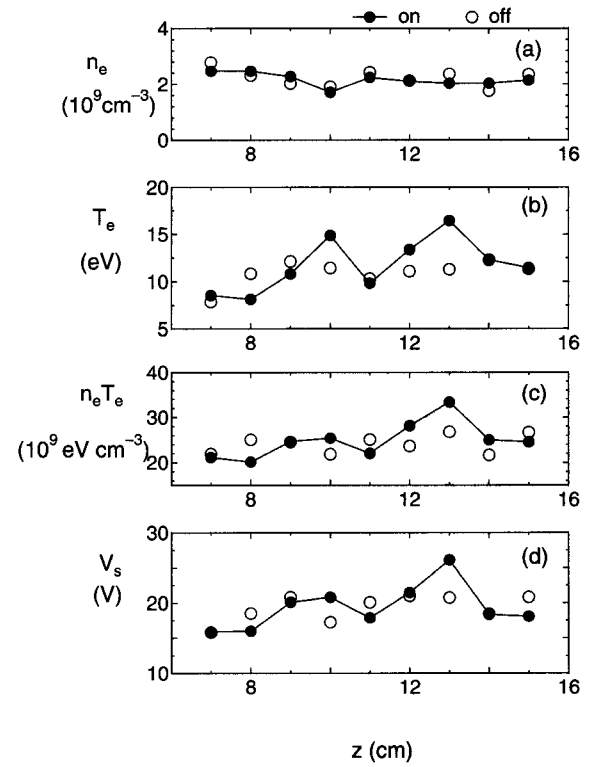


Fig. 2 (a) Electron density, (b) electron temperature, (c) energy density and space potential versus axial distance  $z$  (cm). Closed circles with lines denote applying the external RF power. Open circles denote no RF power.

discuss by comparing  $v_d$  with the velocity of the beam electron  $v_b$ . The energy of the beam electron (300 eV) corresponds to the velocity of  $10 \times 10^8$  cm/s. If the contribution from  $V_s$  is not considered,  $|v_d| = |v_1| > v_b$ , which is unreasonable. On the other hand, in considering the contribution from  $V_s$ , the obtained value of  $|v_d| \approx 0\text{--}4 \times 10^8$ , which is somewhat larger than  $|v_d| \ll v_b$ , may be reasonable because we have large roughness of the fittings. Hence, we can point out that the force from the space potential is balanced with the force from the pressure gradient and plays an important role on maintaining the inhomogeneity of the electron temperature in our experiments.

#### 5. Summary

In an electron-beam plasma system, inhomogeneities of the electron temperature and space potential come from nonlinear Landau damping of electrostatic waves. We evaluate the drift velocity of plasma electrons by considering the forces to the plasma

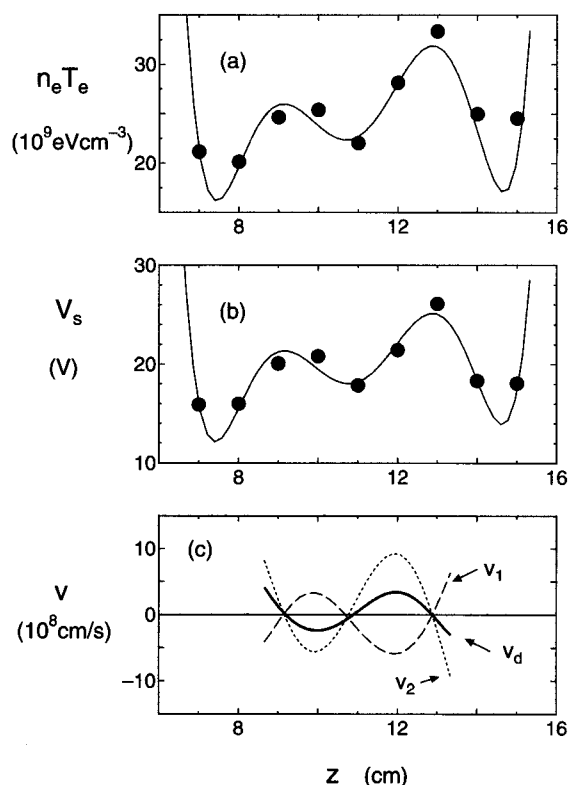


Fig. 3 (a) energy density and (b) space potential versus axial distance  $z$  (cm). Closed circles denote experimental data for applying RF power. Curves denote the lines fitted by using the polynomial. (c) The drift velocity (solid curve) versus  $z$  (cm). Dashed and dotted lines show  $v_1$  and  $v_2$ , respectively (see eq. (5)).

electrons from the pressure gradient and the space potential. This suggests that the two forces are balanced and the space potential plays an important role on maintaining the inhomogeneity.

### References

- [1] B.B. Kadomtsev, *Plasma Turbulence* (Academic, New York, 1965) Chap.4, p.65.
- [2] R.Z. Sagdeev and A.A. Galeev, *Nonlinear Plasma Theory* (Benjamin, New York, 1969) Chap.3, p.89.
- [3] M.N. Rosenbluth, B. Coppi and R.N. Sudan, *Ann. Phys.* **55**, 248 (1969).
- [4] M. Porkolab and R.P.H. Chang, *Phys., Fluids* **15**, 283 (1972).
- [5] R. Sugaya, *J. Phys. Soc. Jpn.* **60**, 518 (1991).
- [6] K.W. Gentle and A. Malein, *Phys. Rev. Lett.* **26**, 625 (1971).
- [7] G. Matthieussent and J. Olibain, *Phys. Rev. Lett.* **34**, 1610 (1975).
- [8] H. Ikezi and Y. Kiwamoto, *Phys. Rev. Lett.* **27**, 718 (1971).
- [9] R.P.H. Chang and M. Porkolab, *Phys. Rev. Lett.* **25**, 1262 (1970); *Phys. Fluids* **15**, 297 (1972).
- [10] M. Sugawa, *Phys. Rev. Lett.* **61**, 543 (1988).
- [11] R. Sugaya, H. Tachibana, H. Yamashita, *et al.*, *J. Phys. Soc. Jpn.* **64**, 2018 (1995).
- [12] T. Maehara, S. Aono, M. Shinkai, *et al.*, *J. Plasma Fusion Res. SERIES*, vol.2 352 (1998).