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# Development of Frequency Tunable Gyrotrons in Millimeter to Submillimeter Wave Range for Plasma Diagnostics

IDEHARA Toshitaka\*, MITSUDO Seitaro, UI Masahiro, OGAWA Isamu<sup>1)</sup>, SATO Motoyasu<sup>2)</sup> and KAWAHATA Kazuo<sup>2)</sup> Research Center for Development of Far-Infrared Region, Fukui University Fukui 910-8507, Japan <sup>1)</sup>Cryogenic Laboratory, Faculty of Engineering, Fukui University Fukui 910-8507, Japan <sup>2)</sup>National Institute for Fusion Science 322-6, Oroshi-cho, Toki 509-5292, Japan

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#### Abstract

High frequency, frequency tunable, medium power gyrotrons (Gyrotron FU series) are being developed in Fukui University as millimeter to submillimeter wave sources. The gyrotron series has achieved frequency tunability in a wide range from 38 to 889 GHz and medium output power from 0.1 kW to several kW. For the application to plasma diagnostics, modulations and stabilizations of both amplitude and frequency of their outputs have been achieved. The present status of the Gyrotron FU series is described. In addition, the development of a frequency tunable, high power, quasi-optical gyrotron for plasma diagnostics is also presented briefly.

#### **Keywords**:

gyrotron, millimeter wave, submillimeter wave, frequency-tunable, plasma diagnostics

### 1. Introduction

The development of gyrotrons is proceeding in two directions. One is the development of high power, millimeter wave gyrotrons as the power sources for electron cyclotron heating of plasmas and electron cyclotron current drive of tokamaks and for industrial technologies, for example, ceramic sintering. The second direction is the development of high frequency, medium power gyrotrons as millimeter to submillimeter wave sources for plasma scattering measurements, electron spin resonance (ESR) experiments and so on.

Gyrotrons developed in Fukui University belong to the second group. High frequency, medium power gyrotrons covering a broad frequency band in millimeter and submillimeter wavelength regions have been developed. Similar submillimeter wave gyrotron developments are advancing in Institute of Applied Physics in Russia [1] and in Massachusetts Institute of Technology in USA [2]. 'Gyrotron FU series' consisting of 8 gyrotrons has many advantages which have not been achieved by other gyrotrons, that is, frequency tunability from 38 GHz to 889 GHz, high harmonic operations up to the fourth harmonics, studies of mode competition and mode cooperation, high purity mode operation, frequency and amplitude modulations, frequency step switching, complete cw operation for a long time ( $\geq$  15 hours), high stabilization of the amplitude by feed back control of anode voltage of electron gun and so on.

\*Corresponding author's e-mail: idehara@maxwell.apphy.fukui-u.ac.jp

©2000 by The Japan Society of Plasma Science and Nuclear Fusion Research A quasi optical gyrotron for plasma diagnostics is also being developed. The frequency tunabilities in two bands near 92 GHz (fundamental operation) and 184 GHz (second harmonic operations) will be achieved. These works are being advanced under cooperations with University of Sydney, Stuttgart University, Karlsruhe Research Center and National Institute for Fusion Science in Japan.

This paper summarizes achievements of 'Gyrotron FU series' and present status of the quasi-optical gyrotron development.

## 2. Gyrotron FU Series

Gyrotrons included in Gyrotron FU series are frequency step-tunable sources covering a wide wavelength range from millimeter to submillimeter wave region. The output powers are not so high, that is, from several hundreds watt to several tens kilowatt for fundamental operation and from several tens watt to several kilowatt for second harmonic operation. The main results are summarized as following.

# (a) Frequency tunability in broad band from 38 GHz to 889 GHz [3-5]

All frequencies achieved up to now by 5 gyrotrons included in Gyrotron FU series are summarized as functions of field intensity  $B_0$  in Fig. 1. Solid lines represent the fundamental  $(f = f_c)$ , the second and the third harmonic  $(f = 2f_c, 3f_c)$  resonances. Frequency steptunability from 38 to 889 GHz is achieved by the fundamental, second and third harmonic operations.



Fig. 1 All frequencies achieved up to now by Gyrotron FU series as functions of field intensity B<sub>0</sub>. Solid curves show the fundamental, the second harmonic and the third harmonic resonances.

Gyrotron FU IVA has achieved the highest frequency of 889 GHz for single mode operation on the TE<sub>8,6,1</sub> cavity mode at the second harmonic ( $f = 2f_c$ ). The corresponding wavelength is 337  $\mu$ m.

#### (b) Amplitude modulation [6,7]

Gyrotrons FU III and IV have achieved amplitude modulation of their outputs. A modulation of the anode voltage  $V_a$  will modulate the velocity distribution function of beam electrons, which, in its turn, will modulate the gyrotron output. In the experiment, the gyrotron (Gyrotron FU III) operates on the second harmonics of the cyclotron frequency. The cavity mode is TE<sub>1.6.1</sub>, the frequency is 444 GHz, and the output power is about 300 W. The modulating frequency is 5 kHz and modulation mode is sinusoidal wave. The modulation rate  $\Delta P_{out}/P_{out}$  of gyrotron output increases with the modulation rate  $\Delta V_a/V_a$  of the anode voltage. The 100 percent modulation of the output  $(\Delta P_{out}/P_{out} =$ 1.0) is attained, when  $\Delta V_a/V_a$  is only several percent  $(\Delta V_a/V_a \sim 0.055)$ . The modulation rate  $\Delta P_{out}/P_{out}$  of output power is almost linearly proportional to  $\Delta V_a/V_a$ . This means the sinusoidal modulation of output power is possible by the sinusoidal modulation of anode voltage. Sinusoidal modulation of  $P_{out}$  at the modulation frequency up to 600 kHz has been achieved with the low  $\Delta V_a/V_a$  value of  $1.1 \times 10^{-3}$ .

The amplitude modulation efficiency  $(\Delta P_{out}/P_{out})/(\Delta V_a/V_a)$  is estimated as a function of  $\alpha$  and  $P_{ohm}/P_{out}$ , where  $P_{ohm}$  is the ohmic power loss in a cavity and  $\alpha$  the pitch angle of beam electrons. The theoretical prediction for the efficiency is derived from the energy transfer function between electrons and electromagnetic wave, as follows,

$$(\Delta P_{out}/P_{out})/(\Delta V_a/V_a) = (1 + P_{ohm}/P_{out})(3\alpha^2 + 4) .$$

The experimentally obtained efficiency becomes close to the theoretical prediction  $3\alpha^2 + 4$ , when  $I_b$  is increased so highly that  $P_{out}$  is high enough and the term  $P_{ohm}/P_{out}$  can be neglected.

#### (c) Frequency modulation [8]

Gyrotron FU IV has achieved the frequency modulation within the limit of resonance frequency width of a cavity mode. The mechanism is as follows. The energy of beam electrons is modulated by variation in the body potential. The body includes the cavity and is separated electrically from the beam collector by a ceramic insulator. Therefore, the electron cyclotron Idehara T. et al., Development of Frequency Tunable Gyrotrons in Millimeter to Submillimeter Wave Range for Plasma Diagnostics

Frequency ranges	80~100 GHz (fundamental operations)				
	160~200 GHz (second harmonic operations)				
Output power	~100 kW (fundamental operations)				
	~50 kW (second harmonic operations)				
Efficiencies	20~30 % (fundamental operations)				
	10~20 % (second harmonic operations)				
Maximum field	4.5 T				
Electron beam parameter	70 kV, 10 A, 1 msec (first stage)				
	80 kV, 20 A, 10 msec (final stage)				

Table 1	The	operation	parameters	of the	quasi	optical	gyrotron
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frequency is modulated by the changing of electron mass and, as the results, a frequency modulation takes place.

The output power is transmitted by circular waveguides and emitted to a horn antenna. The frequencies measured by a heterodyne detection system consisting of a sweep oscillator, a frequency counter, a harmonic mixer and a modulation domain analyzer. The detected signal (f) is mixed with a high harmonic of the local oscillator and converted to the low frequency signal ( $f_{IF}$ ). The time and frequency resolutions of the detection system are 10 msec and 10 kHz, respectively.

In the experiment, the frequency modulation amplitude  $\Delta f$  versus the body potential modulation amplitude  $\Delta V_b$  is observed for several values of the modulation frequency  $f_m$ . There is an almost linear dependence between  $\Delta f$  and  $\Delta V_b$  for all values of  $f_m$ . The efficiency of frequency modulation  $\Delta f/\Delta V_b = 0.247$ MHz/V. The efficiency estimated by the computer simulation is distributed close to the experimentally obtained values. The submillimeter wave gyrotron is used as a radiation source for plasma diagnostics and ESR experiment in our laboratory. The advantages of frequency and amplitude modulations will be useful in these applications.

# (d) Complete cw operation with high stabilities of amplitude and frequency

One of the advantages of complete cw operation is stabilization of the frequency and the amplitude of the gyrotron output. The longest period of the operation which our gyrotron series has achieved was 15 hours. This means 'complete cw'. We employ a currentstabilized high voltage power supply in our experiment to ensure stable operation. A variation in the output frequency was measured by a time-resolved frequency measurement system which is the same as the one used for measurement of frequency modulation. The measured frequency variations  $\delta f$  during 100 msec are several MHz and  $\delta f/f$  are of the order of 10<sup>-5</sup> for several cavity modes. The output power variations measured by Schottky diode during ten minutes are several percent. These variations come from the variations of anode and cathode voltages of electron gun. We are planning first to stabilize both voltages. Then, we will try feed back control of them to achieve further high quality stabilization.

In the preliminary experiment for amplitude stabilization by the feed-back control of the anode voltage of electron gun, the fluctuation level of the amplitude was decreased lower than 0.1 percent.

#### 3. Quasi Optical Gyrotron

A quasi optical gyrotron is being developed by the collaboration between National Institute for Fusion Science and Fukui University. In the gyrotron, Fabry-Perot resonator is installed. It enables frequency tuning in wide ranges near 90 GHz and 180 GHz. The operation parameters of the gyrotron are listed in Table 1. The output powers obtained by computer simulations are around 100 kW for fundamental operations and 50 kW for second harmonic ones.

Frequency tunability of such a high power gyrotron will be useful for application to plasma diagnostics and high power spectroscopies in wide fields.

#### 4. Conclusion

We have developed frequency tunable, submillimeter wave gyrotrons (Gyrotron FU series) with medium power and a quasi optical millimeter wave gyrotron with high power for plasma diagnostics and millimeter to submillimeter wave spectroscopy. The former gyrotrons have achieved frequency and amplitude modulations and high stability of the output power. Idehara T. et al., Development of Frequency Tunable Gyrotrons in Millimeter to Submillimeter Wave Range for Plasma Diagnostics

The fluctuation level of output powers is now lower than 0.1 percent. These advantages of the gyrotron series enable us to apply them to high power, millimeter to submillimeter wave spectroscopies in wide fields. The gyrotrons are used for measurement of LHD in National Institute for Fusion Science in near future.

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